

ADJUDICATIVE GUIDELINES

REPORTABLE ACTIVITIES NOT COVERED IN ADJUDICATION GUIDELINES:

name changes as a result of legal action, marriage or family derivative; character unbecoming that requires professional assessment; person constantly participating in activities that poses a constant risk of life or is life threatening; tattoos originating from questionable activities and membership to organizations; failed security interviews; failed security related polygraph exams; personal matters placing a person under duress, stress or seems to be a major factor resulting in work related disruptions; presumed attacks or threats by a known/unknown person(s), group or organization; intent to marry a foreign national; foreign contact (includes dating, association and/or friendship); cohabitation (residing) with a foreign national(s); foreign contact by relationship, marriage, family association; other situations which seems not appropriate and provides security concerns.

ALLEGIANCE TO THE UNITED STATES: involvement in activity supporting acts of sabotage, espionage, treason, terrorism, or sedition against the United States of America

FOREIGN INFLUENCE: contact or connection with a foreign family member(s), business or professional associate(s), friend(s), or other person(s), a citizen or resident in a foreign country, where contact creates a heightened risk of foreign exploitation, inducement, manipulation, pressure, or coercion.

FOREIGN PREFERENCE: exercising any right, privilege, or obligation of foreign citizenship after becoming a U.S. citizen, or through foreign citizenship of a family member, possession of current foreign passport, military service or willingness to bear arms for a foreign country, and/or intent to renounce U.S. citizenship.

SEXUAL BEHAVIOR: behavior of a criminal nature whether or not the individual has been prosecuted; patterns of compulsive, self destructive sexual behavior; individual is unable to stop the behavior and/or is symptomatic of a personality disorder; behavior of a public nature and/or reflects lack of discretion or judgment.

PERSONAL CONDUCT: refusal or failure without reasonable cause to undergo or cooperate with security processing, meeting with a security investigator for subject interview, completing security forms or releases, cooperation with medical or psychological evaluators, refusal to provide full, frank and truthful answers to lawful questions of investigators, security officials, or other official representatives in connection with a personnel security or trustworthiness determination.

FINANCIAL CONSIDERATIONS: questionable indebtedness or affluence of wealth; inability or unwillingness to satisfy debts; indebtedness caused by frivolous or irresponsible spending and the absence of any evidence of willingness or intent to pay the debt or establish a realistic plan to pay the debt; a history of not meeting financial obligations; deceptive or illegal financial practices such as embezzlement, employee theft, check fraud, income tax evasion, expense account fraud, filing deceptive loan statements, and other intentional financial breaches of trust; financial problems linked to gambling, alcohol or drug dependency, or other issues of security concern.

ALCOHOL CONSUMPTION AND/OR DRUG INVOLVEMENT: alcohol and/or drug abuse, related incidents or signs of dependency; alcohol and/or drug related incidents away from work and/or at work, such as driving under the influence, fighting, child or spouse abuse, disturbing the peace, or other incidents of security concern, regardless of whether the individual is diagnosed as an alcohol/drug abuser or alcohol/drug dependent; alcohol/drug related incidents at work, such as reporting for duty intoxicated or impaired, or drinking or drug use on the job; habitual or binge consumption of alcohol; diagnosis by a qualified medical professional/clinical social worker of abuse, dependency or required treatment program; failure to follow a court order regarding alcohol/drug education, evaluation, treatment or abstinence.

PSYCHOLOGICAL CONDITIONS: suicidal tendencies, inconsistent behavior or character, medical treatment or medication resulting in mental/thinking disorientation; behavior that cast doubt on an individual's judgment, reliability, or trustworthiness not covered under any other guideline; not limited to emotional instability, irresponsible, dysfunctional, violent, paranoid, bizarre behavior; opinion by a qualified mental health professional that the individual has a condition that may impair judgment, reliability or trustworthiness; individual failed to follow prescribed treatment or court order; failure to take or abuse of prescribed medication.

CRIMINAL CONDUCT: reported/unreported misconduct, all types of arrests, confinements or punitive interaction with police officials/court actions; court hearings, past or present, whether or not judgment is favorable; a single serious crime or multiple lesser offenses; discharge from the Armed Forces under dishonorable conditions; allegations of criminal conduct regardless of formal prosecution or conviction; individual is currently on parole or probation; violation of parole or probation, or failure to complete a court-mandated rehabilitation program.

HANDLING PROTECTED INFORMATION: mishandling protected/proprietary government information, classified or unclassified; deliberate or negligent disclosure of classified or other protected information to unauthorized persons; collecting or storing classified or other protected information in any unauthorized location; loading, drafting,

editing, modifying, storing, transmitting, or otherwise handling classified reports, data, or other information on any unapproved equipment including but not limited to any typewriter, word processor, computer hardware, software, drive, system, game board, handheld, “palm” or pocket device, or other adjunct equipment; inappropriate efforts to obtain or view classified or other protected information outside one’s need-to-know; copying classified or other protected information in a manner designed to conceal or remove classification or other document control markings; viewing or downloading information from a secure system when the information is beyond the individual’s need-to-know; any failure to comply with rules to protect classified or other sensitive information; negligence or lax security habits that persist despite counseling by management; failure to comply with rules or regulations that results in damage to National Security, regardless it was deliberate or negligent.

OUTSIDE ACTIVITIES: any employment or service, whether compensated or volunteered, with a foreign government, foreign national organization, or other entity; a representative of any foreign interest; any foreign, domestic, or international organization or person engaged in analysis, discussion, or publication of material on intelligence, defense, foreign affairs, or protected technologies; failure to report or disclose an outside activity.

USE OF INFORMATION TECHNOLOGY SYSTEMS: illegal or unauthorized entry into any information technology; illegal or unauthorized destruction, manipulation or denial of access to information, software, firmware, or hardware in an information technology system; use of any technology system to gain unauthorized access to another system or to a compartmented area within the same system; downloading, storing, or transmitting classified information on or to any unauthorized software, hardware, or information technology system; unauthorized use of a government or other information technology system; introduction, removal, or duplication of hardware, firmware, software, or media to or from any information technology system without authorization, when prohibited by rules, procedures, guidelines or regulations; negligence or lax security habits in handling information technology despite counseling by management; any misuse of information technology, whether deliberate or negligent